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(54) Title: COMPOSITIONS AND METHODS FOR A BIOARTIFICIAL EXTRACELLULAR MATRIX

(57) Abstract

Methods and compositions for a bioartificial 3-D extracellular matrix, wherein the matrix is derivatized with at least one ECM adhesion molecule or adhesive peptide fragment thereof.

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COMPOSITIONS AND METHODS  
FOR A BIOARTIFICIAL EXTRACELLULAR MATRIX

Field of the Invention

This invention relates to compositions and  
5 methods for a bioartificial extracellular matrix.

Background of the Invention

Tissue engineering in the nervous system  
deals with the functional replacement of damaged  
tissues and nervous system regeneration.

10 The ability to organize cells in three  
dimensions (3-D) is an important component of tissue  
engineering. The behavior of cells is influenced both  
by their intrinsic genetic programs and their  
extracellular environment. The extracellular  
15 environment includes 'passive' structural components  
and biologically 'active' components.

Most cells in multicellular organisms are in  
contact with an intricate meshwork of interacting,  
extracellular macromolecules that constitute the  
20 extracellular matrix (ECM). These macromolecules,  
mainly proteins and polysaccharides, are secreted  
locally and assemble into an organized 3-D meshwork in  
the extracellular spaces of most tissues. ECM  
molecules include glycosaminoglycans, and proteoglycans  
25 such as chondroitin sulfate, fibronectin, heparin  
sulfate, hyaluron, dermatan sulfate, keratin sulfate,

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laminin, collagen, heparan sulfate proteoglycan, and elastin. In addition to serving as a universal biological glue, ECM molecules also form highly specialized structures such as cartilage, tendons, basal laminae, and (in conjunction with secondary deposition of calcium phosphate) bone and teeth. Alberts et al., Molecular Biology of the Cell, Garland, NY, pp. 802-24 (1989).

Extracellular matrices modulate the organization of the intracellular cytoskeleton, cell differentiation and the spatial architecture of cells and tissues. In fact, the ECM plays a critical role in regulating the behaviour of cells that contact it by influencing cellular development, migration, proliferation, differentiation, shape, polarity and metabolic function.

Several peptide active sites responsible for cell attachment have been identified in various ECM molecules.

In vivo, laminin (LN) immunoreactivity has been detected in several regions of the embryo including muscles (Chui and Sanes, Dev. Biol., 103, pp. 456-67 (1984)), spinal cord (Azzi et al., Matrix, 9, pp. 479-85 (1989)), spinal roots (Rogers et al., Dev. Biol., 113, pp. 429-35 (1986)), optic nerve (McLoon et al., J. Neurosci., 8, pp. 1981-90 (1988)), cerebral cortex (Liesi, EMBO, 4, pp. 1163-70 (1985); Zhou, Dev. Brain Res., 55, pp. 191-201 (1990)), hippocampus (Gordon-Weeks et al., J. Neurocytol., 18, pp. 451-63 (1989)) and the medial longitudinal fasciculus of the midbrain (Letourneau et al., Development, 105, pp. 505-19 (1989)).

The tripeptidic sequence RGD (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2) has been identified to be responsible for some of the cell adhesion properties of

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fibronectin (Pierschbacher and Ruoslahti, Science, 309, pp. 30-33 (1984)), laminin (Grant et al., Cell, 58, pp. 933-43 (1989)), entactin (Durkin et al., J. Cell. Biol., 107, pp. 2329-40 (1988)), vitronectin (Suzuki et al., EMBO, 4, pp. 2519-24 (1985)), collagen I (Dedhar et al., J. Cell. Biol., 107, pp. 2749-56 (1987)), collagen IV (Aumailley et al., Exp. Cell Res., 187, pp. 463-74 (1989)), thrombospondin (Lawler et al., J. Cell. Biol., 107, pp. 2351-61 (1988)) and tenascin (Friedlander et al., J. Cell. Biol., 107, pp. 2329-40 (1988)).

The sequence YIGSR (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1), found on the B1 chain of laminin, promotes epithelial cell attachment (Graf et al., Biochemistry, 26, pp. 6896-900 (1987)) and inhibits tumor metastasis (Iwamoto et al., Science, 238, pp. 1132-34 (1987)).

The IKVAV sequence found on the A chain of laminin, has been reported to promote neurite outgrowth (Tashiro et al., J. Biol. Chem., 264, pp. 16174-182 (1989); Jucker et al., J. Neurosci. Res., 28, pp. 507-17 (1991)).

All of the studies using these peptidic sequences of cell attachment and neurite promotion were conducted on flat two-dimension substrates (Smallheiser et al., Dev. Brain Res., 12, pp. 136-40 (1984); Graf et al., Biochemistry, 26, pp. 6896-900 (1987); Sephel et al., Biochem. Biophys. Res. Comm., 2, pp. 821-29 (1989); Jucker et al., J. Neurosci. Res., 28, pp. 507-17 (1991)). The physical and chemical nature of the culture substrate influences cell attachment and neurite extension. The physical microstructure of a 2-D culture substrate can influence cell behavior. The use of permissive and non-permissive culture surface chemistries facilitates nerve guidance in 2-D. The

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cell attachment regulating function of various serum proteins like albumin and fibronectin is dependent on the chemistries of the culture substrates that they are adsorbed onto.

5           Gene expression is reported to be regulated differently by a flat 2-D substrate as opposed to a hydrated 3-D substrate. For example, monolayer culture of primary rabbit articular chondrocyte and human epiphyseal chondrocyte on 2-D tissue culture substrates  
10 causes primary chondrocyte to lose their differentiated phenotype. The differentiated chondrocyte phenotype is re-expressed when they are cultured in 3-D agarose gels (Benya and Shaffer, Cell, 30, pp. 215-24 (1982); Aulthouse, et al., In Vitro Cell Dev. Bio., 25,  
15 pp. 659-68 (1989)).

Similarly, alkaline phosphatase gene expression in primary bile ductular epithelial cells is differentially regulated when they are cultured in 3-D Matrigel®, collagen I or agarose gels as opposed to 2-D  
20 cultures (Mathis et al., Cancer Res., 48, pp. 6145-53 (1988)).

Therefore, 3-D presentation of ECM components may better mimic the in vivo environment in influencing cell or tissue response. In particular, in vivo use of  
25 ECM biomolecules may require such a 3-D system for optimal efficacy. The development of a defined, bioartificial 3-D matrix that presents ECM molecules, or active portions thereof, would facilitate tissue engineering in the nervous system by allowing in vitro  
30 and in vivo cell manipulation and cell culture in 3-D. See, e.g., Koebe et al., Cryobiology, 27, pp. 576-84 (1990)..

In addition, there is a need to develop a defined, biosynthetic matrix, because the tumorigenic  
35 origins of some commercially available ECM, e.g.,

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Matrigel® mouse sarcoma derived ECM, render it unattractive for some in vitro and in vivo applications. Further, naturally occurring ECM components such as collagen may be enzymatically degraded in the body while a synthetic ECM is less likely to be degraded.

#### Summary of the Invention

This invention provides a three-dimensional hydrogel based, biosynthetic, extracellular matrix (ECM) equivalent, and method of making same. Agarose matrices having a chemistry amenable to derivatization with various ECM adhesive peptides and proteins, are preferred in forming the 3-D hydrogel substrates of this invention. These biologically active 3-D templates may be useful in facilitating tissue regeneration or replacement.

#### Brief Description of the Drawings

Figure 1. A double Y-axis plot depicting the influence of agarose gel concentration on average pore radius (Y1) and percent striatal cells extending neurites (Y2) after 72 hours in culture. Pore radius was calculated by hydraulic permeability measurements of the different gel concentrations. Solid line through pore radii data points is an exponential fit with  $r^2=0.985$ .

Figure 2. Schematic of an agarobiose unit and the carbonyldiimidazole coupling chemistry for the immobilization of CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) oligopeptide to agarose gels.

Figure 3. Histogram depicting E9 chick DRG neuritic spread as the ratio of total ganglionic spread area/ganglionic cell body area (TGSA/GCBA). Neuritic spread in various gels is plotted as a percentage of

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neuritic spread in Ag-Plain gels at 2 days (n=6). Error bars represent standard deviation. '\*' depicts a statistically significant higher neuritic spread ( $p < 0.05$ ) relative to Ag-Plain; '#' depicts a statistically significant lower neuritic spread ( $p < 0.05$ ) relative to Ag-Plain.

Figure 4. Histogram of comparative E9 Chick DRG neurite extension in underivatized and derivatized agarose gels (n=6). Error bars represent standard deviation. '\*' denotes a statistically significant, higher neurite length ( $p < 0.05$ ) compared to Ag-Plain; '#' denotes a statistically significant lower neurite length ( $p < 0.05$ ) compared to Ag-Plain.

Figure 5. Histogram depicting comparative PC12 neuritic extension in underivatized and derivatized agarose gels (n=6). Error bars represent standard deviation. '\*' depicts a significant difference with respect to Ag-Plain ( $p < 0.05$ ); '#' depicts a significant difference with respect to all other LN oligopeptide derivatized agarose gels ( $p < 0.05$ ).

Figure 6. Schematic illustration of dorsal root regeneration across a nerve gap of 4.0 mm with a nerve guidance channel whose lumen is loaded with agarose gel.

Figure 7. Graph showing the number of myelinated axons regenerated at 4 weeks along polymer guidance channels filled with AgPlain and Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) gels. "\*" depicts a statistically significant difference with  $p < 0.05$  using the Student t test.

Figure 8. Graph showing the density of myelinated axons regenerated at 4 weeks along polymer guidance channels filled with AgPlain and Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) gels. "\*" depicts a statistically significant difference with  $p < 0.05$  using the Student t test.



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depicts a statistically significant difference with  $p < 0.05$  using the Student t test.

Figure 9. Histogram depicting the number of myelinated axons in regenerating sural nerves at 2.0 mm distance from the proximal nerve stump in polymer guidance channels filled with A) saline; B) AgPlain and C) Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1). "\*" depicts a statistical difference of  $p < 0.05$  when compared to saline or AgPlain. Student t test was used to evaluate statistical significance.

Figure 10. Histogram depicting the density of myelinated axons in regenerating sural nerves at 2.0 mm distance from the proximal nerve stump in polymer guidance channels filled with A) saline; B) AgPlain and C) Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1). "\*" depicts a statistical difference of  $p < 0.05$  when compared to saline or AgPlain. Student t test was used to evaluate statistical significance.

#### Detailed Description of the Invention

This invention provides a biosynthetic, hydrogel-based, three-dimensional bioartificial ECM. The bioartificial extracellular matrices of this invention offer the possibility of manipulating cells in 3-D, and may be used as three dimensional templates for tissue engineering efforts in vitro and in vivo.

The term "nerve" means both nonfascicular and polyfascicular nerves.

The term "active factor" or "growth factor" includes any active analogs, active fragments, or active derivatives thereof.

Any suitable hydrogel may be used as the substrate for the bioartificial extracellular matrices of this invention. Compositions that form hydrogels fall into three classes. The first class carries a net

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negative charge (e.g., alginate). The second class carries a net positive charge (e.g., collagen and laminin). Examples of commercially available extracellular matrix component hydrogels include  
5 Matrigel™ and Vitrogen™. The third class is net neutral in charge (e.g., highly crosslinked polyethylene oxide, or polyvinylalcohol).

A hydrogel suitable for use in this invention is preferably a defined polymer, most preferably a  
10 polymer that is synthetic or can be prepared from a naturally occurring, non-tumorigenic source, free of undesired biological (e.g., bacterial or viral), chemical or other contaminants. Most preferred as the matrix substrate are well characterized hydrogels that  
15 permit presentation of only the desired ECM adhesion molecule or adhesive peptide fragment in 3-D, substantially free of undesired adhesion motifs.

Matrigel™ is not a defined substrate and also less desirable since it is derived from a murine  
20 sarcoma line. In addition, not all synthetic polymer hydrogels are suitable. For example, the use of acrylic based hydrogels by Woerly et al., Cell Transplantation, 2, pp. 229-39 (1993) presents the possibility of cytotoxicity because entrapment of  
25 neuronal cells is done concomitantly with the cross-linking reaction in the presence of free radical initiators.

Polymers that may be useful as hydrogel matrix substrate materials include high molecular  
30 weight polyethylene oxide (PEO) and hyaluronate. Stabilized hyaluronate is commercially available (Fidia Advanced Biopolymers). Various PEO polymers are also commercially available.

Polysaccharides are a class of macromolecules  
35 of the general formula  $(CH_2O)_n$  which are useful as the

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hydrogel substrate in the present invention.

Polysaccharides include several naturally occurring compounds, e.g., agarose, alginate and chitosan. We prefer agarose.

5           Agarose is a clear, thermoreversible hydrogel made of polysaccharides, mainly the alternating copolymers of 1,4 linked and 3,6-anhydro- $\alpha$ -L-galactose and 1,3 linked  $\beta$ -D-galactose. Two commercially available agaroses are SeaPrep® and SeaPlaque® agarose  
10 (FMC Corp. Rockland, Maine). SeaPrep® is a hydroxyethylated agarose that gels at 17°C. The particular suitability of a hydrogel as a biomaterial stems from the similarity of its physical properties to those of living tissues. This resemblance is based on  
15 its high water content, soft rubbery consistency and low interfacial tension. The thermoreversible properties of agarose gels make it possible for agarose to be a liquid at room temperature allowing for easy mixing of cell-gel solution and then cooling to 4°C  
20 causes gelation and entrapment of cells. This is a comparatively benign process, free of chemicals toxic to the cells.

We prefer an agarose concentration of 0.50 to 1.25% (w/v), most preferably 1.0%, for the permissive  
25 layers of the hydrogel matrix.

Several physical properties of the hydrogel matrices of this invention are dependent upon gel concentration. Increase in gel concentration may change the gel pore radius, morphology, or its  
30 permeability to different molecular weight proteins.

Gel pore radius determination can be determined by any suitable method, including hydraulic permeability determination using a graduated water column, transmission electron microscopy and sieving  
35 spheres of known radius through different agar gel

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concentrations. See, e.g., Griess et al., Biophysical J., 65, pp. 138-48 (1993). We prefer hydraulic permeability-based pore radius determination, as the method most sensitive to changes in gel concentration.

5           Measurement of gel hydraulic permeability using a graduated water column enabled the calculation of average pore radius for each of the gel concentrations studied. The average gel pore radius fell exponentially as the gel concentration increased.  
10 The slope of the curve indicated the sensitivity of pore radius to gel concentration. The average gel pore radius preferably varies between 120-290 nm, and is most preferably approximately 150 nm. The pore radius of the 1.25% threshold agarose gel concentration was  
15 150 nm.

          The agarose hydrogels of this invention may be used as a carrier to present various ECM proteins or peptides, e.g., laminin fibronectin, and/or their peptidic analogs in 3-D. The chemistry of agarose  
20 permits easy modification with such ECM adhesive proteins and/or peptides. We prefer covalent immobilization of ECM proteins to the hydrogel backbone. Such immobilization is important because the physical blending of low molecular weight oligopeptides  
25 with hydrogels will not retain the peptides in the gel. Further, covalent immobilization prevents the possible saturation of cell surface receptors by 'free-floating' ECM molecules in hydrogel-ECM molecule blends.

          Any suitable coupling system may be used for  
30 derivatization. Most preferably, covalent coupling using a bi-functional imidazole coupling agent, e.g., 1'1 carbonyldiimidazole, is used. This coupling chemistry does not alter the physical structure of the gel significantly.

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The bioartificial hydrogel extracellular matrices of this invention are useful for presenting in 3-D full length extracellular matrix proteins involved in cell adhesion. In addition, peptide fragments of such adhesion molecules that contain cell binding sequences may also be used (i.e., adhesive peptide fragments). Several such adhesive peptide fragments are known in the art. A particular peptide fragment can be tested for its binding ability or adhesive capacity according to standard techniques.

The bioartificial hydrogel matrices of this invention can be used to present ECM adhesion molecules, or adhesive peptide fragments thereof, in 3-D to a variety of cell types. These cell types include any cell that is normally in contact with the ECM in vivo, or any cell bearing a cell surface receptor capable of binding to an ECM adhesion molecule or adhesive peptide fragment thereof.

Useful cells include epithelial cells, endothelial cells, fibroblasts, myoblasts, chondroblasts, osteoblasts, and neural stem cells (Richards et al., PNAS 89, pp. 8591-95 (1992); Ray et al., PNAS 90, pp. 3602-06 (1993)). Other cells that may be useful in the methods and compositions of this invention include, Schwann cells (WO 92/03536), astrocytes, oligodendrocytes and their precursors, adrenal chromaffin cells, and the like.

Stem cells represent a class of cells which may readily be expanded in culture, and whose progeny may be terminally differentiated by the administration of a specific growth factor. See, e.g., Weiss et al. (PCT/CA 92/00283).

Myoblasts are muscle precursor cells originally derived from mesodermal stem cell populations, e.g., L-6 and  $\beta$ -CH3 cells. Primary

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myoblasts can be readily isolated from tissue taken from an autopsy or a biopsy, and can be purified and expanded. Myoblasts proliferate and fuse together to form differentiated, multi-nucleated myotubes.

5 Myotubes no longer divide, but continue to produce muscle proteins. While proliferating, myoblasts may readily be genetically engineered to produce therapeutic molecules. Myoblasts are capable of migrating and fusing into pre-existing fibers.

10 It will be appreciated that the choice of ECM adhesion molecule or adhesive peptide fragment for use in the bioartificial ECM matrix will depend upon the desired target cell type. See, e.g., Kleinman, United States Patent 4,829,000; End and Engel, "Multidomain  
15 Proteins Of The Extracellular Matrix And Cellular Growth", in McDonald and Mecham Biology of Extracellular Matrix Series, Academic Press, NY, pp. 79-129 (1991). One of skill in the art can routinely assay any particular ECM molecule or adhesive  
20 peptide fragment motif for its adhesive capacity for a chosen cell type.

Thus, according to the compositions and methods of this invention, it may be possible to influence the behavior (i.e., development, migration,  
25 proliferation, differentiation, shape, polarity, and/or metabolic function) of any ECM-responsive cell type, by providing the appropriate ECM-mediated molecular cues.

In some embodiments, the hydrogel ECM matrix can be derivatized with the appropriate ECM adhesion  
30 molecules or adhesive peptide fragments and implanted into a desired location in a host, e.g., a mammal, preferably a human. In these embodiments, the matrix acts as a support for tissue regeneration, whereby the host cells infiltrate the matrix. In the presence of

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the appropriate 3-D molecular cues in the matrix host tissue regeneration is facilitated.

Such embodiments have use, for example, in cartilage or tendon regeneration by derivatizing the matrix with ECM adhesion molecules or adhesive peptide fragments that favor chondrocyte invasion. Similarly, the matrices of this invention may be useful in promoting muscle, bone or skin regeneration by presenting the appropriate molecular cues to influence myoblast, osteoblast or epithelial cell behavior. In a preferred embodiment, the bioartificial matrices of this invention are used to promote nerve regeneration, in nerve guidance channels.

In other embodiments, the bioartificial matrices of this invention can be pre-seeded with cells, whereby the cells are suspended in the matrix and exposed to the appropriate molecular cues in 3-D. These cell-seeded matrices are useful in tissue replacement protocols. According to these embodiments, tissue can be reconstituted in vitro and then implanted into a host in need thereof. For example, cardiac myoblasts may be suspended in the derivatized hydrogel matrices of this invention to create a tissue patch of a thickness corresponding to the cardiac wall. The reconstituted cardiac patch could then be implanted, as part of a tissue replacement therapy.

Similar protocols for cartilage, tendon, bone, skin, nerve, blood vessels and other tissues are contemplated. The ability to cast hydrogels, e.g., agarose, into a variety of shapes, as well the ability to fabricate "permissive" gel concentrations enables the production of bioartificial matrices that can influence cell behavior in defined planes or through defined "tracts".

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It will be appreciated that according to the foregoing embodiments, the cells may be xenografts, allografts or autografts, preferably allografts, most preferably, autografts. Surgical procedures for  
5 implanting such cells are known. See, e.g., Gage, United States Patent 5,082,670.

In other embodiments it may be desirable to encapsulate the cell-seeded matrix in a semi-permeable membrane to form a bioartificial organ. Such  
10 bioartificial organs are well known in the art. See, e.g., WO 92/019195, incorporated herein by reference. In these embodiments the metabolic function of the encapsulated cells may be controlled by the ECM adhesion molecule or adhesive peptide fragment  
15 presented in 3-D. The encapsulated cells can be influenced to produce a biologically active molecule that may function within the encapsulated cells, or be released or secreted from the encapsulated cells, to exert a therapeutic effect on the host. This allows  
20 precise control over cell behaviour at a fixed location in the host body.

In a preferred embodiment, laminin-derived oligopeptidic fragments, an RGD-containing sequence (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), a YIGSR-  
25 containing sequence (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1) and/or an IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3), are coupled to the hydroxyl backbone of agarose, using any suitable method. Most preferably, the oligopeptidic fragments  
30 GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2), CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1), or the 19-mer water-soluble amino acid sequence CSRARKQAASIKVAVSADR (CysSerArgAlaArgLysGlnAlaAlaSer IleLysValAlaValSerAlaAspArg; SEQ ID NO:3) are used.



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CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) has been shown to evoke only 30% of the maximal response obtained by laminin in chemotactic functions with melanoma cells (Graf et al., 5 Biochemistry, 26, pp. 6896-900 (1987)). Thus, the use of full length ECM molecules may elicit more significant cellular effects. However, the use of minimal oligopeptides creates a more stringent substrate condition and facilitates the testing of the 10 gel system without the potent biological effects of full length proteins eclipsing the gel's physical effects. This enables the development and testing of a system with a base physical structure to support cell viability and influence cell behaviour. The hydrogel 15 matrix can then be rendered progressively more permissive by the use of appropriate covalently-coupled cell adhesion or extracellular matrix molecules.

The 3-D matrices of this invention may be further modified, preferably chemically modified, to 20 include hexosamine residues. Carbohydrates may be involved in cell adhesion. Dodd and Jessel, J. Exp. Biol., 124, 00. 225-38 (1986).

In another preferred embodiment, the compositions of this invention may be used in neural 25 cell transplantation. The ability of biosynthetic hydrogels to organize, support and direct neurite extension from neural cells may also be useful for applications such as 3-D neural cell culture and nerve regeneration. The bioartificial extracellular matrices 30 of this invention may potentially carry one or more of the several cell adhesion molecules that have been identified to play an important role in cell migration and neurite extension in the developing nervous system, including N-CAM and Ng-CAM (Crossin et al., Proc. Natl. Acad. Sci., 82, pp. 6942-46 (1985); Daniloff et al., 35

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J. Neurosci., 6, pp. 739-58 (1986)), tenascin (Wehrle et al., Development, 1990, pp. 401-15 (1990)) and L1 (Nieke and Schachner, Differentiation, 30, pp. 141-51 (1985)). Among extracellular matrix glycoproteins, laminin has been shown to be one of the most potent inducers of neurite outgrowth in vitro. It is a component of the Schwann cell basal lamina and is thought to be involved in axonal regeneration in vivo (Baron-Van-Evercooren et al., J. Neurosci. Res., 8, pp. 179-93 (1983); Manthorpe et al., J. Cell. Biol., 97, pp. 1882-90 (1983); Rogers et al., Dev. Biol., 113, pp. 429-35 (1983).

LN has also been found to enhance attachment of many neural cell types (McCarthy et al., J. Cell. Biol., 97, pp. 772-77 (1983); Liesi et al., J. Neurosci. Res., 11, pp. 241-51 (1984); Hammarback et al., J. Neurosci. Res., 13, pp. 213-20 (1985); Kleinman et al., Annals NY Acad. Sci., 580, pp. 302-10 (1990), increase the survival of sympathetic and septal neurons (Edgar et al., EMBO, 3, pp. 1463-68 (1984); Pixley et al., J. Neurosci. Res., 15, pp. 1-17 (1986), and stimulate neurite outgrowth in many peripheral and central neurons (Baron Van Evercooren et al., J. Neurosci. Res., 8, pp. 179-93 (1983); Manthorpe et al., J. Cell. Biol., 97, pp. 1882-90 (1983); Rogers et al., Dev. Biol., 113, pp. 429-35 (1983); Steele et al., J. Neurosci. Res., 17, pp. 119-27 (1987).

LN and other ECM constituents influence neuronal development in both the peripheral and the central nervous systems. Hence, presenting ECM-oligopeptide derivatized agarose gels to the regenerating environment in 3-D may enhance nerve regeneration when introduced in appropriate animal models.

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The complex glycoprotein and proteoglycan components of the extracellular matrix are thought to provide permissive pathways for neural cell migration and neurite extension during development. Cell-cell  
5 and cell-extracellular matrix (ECM) interactions appear to regulate various aspects of neuronal cell differentiation including neural cell migration and neurite extension. Sanes, Ann. Rev. Neurosci., 12, pp. 491-516 (1989).

10 Anatomical studies of neural development show that the migratory pathways of pioneer neurons seem to consist of a 3-D ECM that is organized into a network of fibrils and granules. The chemotropic attraction of neuronal growth cones from their target areas, coupled  
15 with a permissive three dimensional maze comprised of ECM molecules like laminin (LN) and fibronectin (FN) and some cell free spaces filled with highly hydrates hyaluronic acid, is thought to play an important role in the development of embryonic nervous system.

20 Laminin (LN), an ECM molecule derived from basal lamina, promotes neurite outgrowth in a wide variety of neural cells including dorsal root ganglia (DRGs) and PC12 cells, a cell line derived from a rat pheochromocytoma. Kleinman et al., Annals NY Acad. Sci. 580, pp. 302-10 (1990).

In development, the pathways followed by neural crest cells and the growth cones of pioneer neural cells contain several ECM constituents, including fibronectin, laminin, tenascin,  
30 thrombospondin and hyaluronic acid. Perris and Bronner-Fraser, Comments Dev. Neurobiol., 1, pp. 61-83 (1989).

Thus, in one embodiment the agarose matrix is derivatized with neurite promoting agents and growth  
35 factors to specifically enhance neurite extension in

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agarose gels. It has been shown that the activity of the neurite promoting protein laminin is enhanced after it is complexed with heparin sulfate proteoglycan which helps organize specific molecular interactions more  
5 favorable for neuritic outgrowth.

Integration of transplanted cells into host tissue results from growth of transplanted neurons, and from regeneration of axons from host neurons damaged during the transplantation, with the establishment of a  
10 functional interface, including graft-host interconnections and synaptic relationships. Woerly et al., Cell Transplantation, 2, pp. 229-39 (1993). The 3-D agarose matrices of this invention may serve as a support for directed growth of axons. We prefer  
15 neural stem cells isolated according to Weiss et al., PCT/CA92/00283, most preferably human stem cells.

The physio-chemical environment of various cells and tissues in vitro may be tailored to evoke particular and specific responses from them. Specific  
20 ECM peptides may be important in determining the degree of facilitation or permissivity to neurite outgrowth from neural cells. In particular, the nature of the peptide presented in 3-D can influence neurite extension. Further, cell types may be differently  
25 affected by a chosen peptide.

Neurite extension from PC12 cells in two-dimensions is enhanced by the IKVAV (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) fragment of LN (Tashiro et al., J. Biol. Chem., 264, pp. 16174-182 (1989)).  
30 PC12 cells possess as 110 kDa cell surface receptor (Kibbey et al., Proc. Natl. Acad. Sci., 90, pp. 10150-53 (1993)) which has been postulated to be the binding site for the IKVAV (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) sequence.

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In a specific embodiment, agarose gels are derivatized with an IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) to promote neurite extension in PC12 cells.

5           The presence of nerve growth factor (NGF) may be required for neurite extension. See, e.g., Sephel et al., Biochem. Biophys. Res. Comm., 2, pp. 821-29 (1989). These growth factors may be incorporated into the channel membrane (United States Patent 5,011,486),  
10 or may be continuously provided within the channel by seeding the channel with cells that secrete the desired molecules, or a slowly released polymeric insert. See, e.g., United States Patents 5,156,844 and 5,106,627. Such methods overcome problems associated with short  
15 half lives of various of the growth factors, and problems with non-continuous or uncontrolled delivery of these factors.

In another specific embodiment, agarose derivatized with an RGD-containing sequence (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), an CDPGYIGSR-containing  
20 sequence (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1), IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) or a cocktail (PEPMIX) containing a mixture of all three sequences, was used to promote  
25 neurite extension in E9 chick dorsal root ganglia.

In another method of this invention, lamination of alternating non-permissive, permissive and non-permissive gel layers permits the creation of 3-D cell growth or migration "tracts" in vitro. The  
30 agarose concentration for the non-permissive layer can be an agarose concentration greater than 1.25%, preferably 2.0% or greater.

In one embodiment, lamination of gel concentrations 2%:1%:2%, with the 1% gel layer carrying  
35 neural cells, e.g., dorsal root ganglia, can facilitate

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the creation of 3-D neural 'tracts'. Fabrication of the directed 3-D neural tracts of this invention can be achieved by physically casting neurite-extension permissive and non-permissive gels in a controlled manner. Such casting methods are known in the art.

The factors controlling nerve regeneration across a gap following transection injury are not fully understood. Regeneration of severed nerves does not normally include proliferation of nerve cells. However, the injured nerve cell will extend neurites that growth distally and attempt to reenter the intact neurilemmal sheath of the distal portion of the severed nerve. Conventional techniques for repair involve aligning the severed ends of the fascicles. This manipulation and suturing stimulates growth and/or migration of fibroblasts and other scar-forming, connective tissue cells. The scar tissue prevents the regenerating axons in the proximal stump from reaching the distal stump to reestablish a nerve charge pathway.

Various nerve guidance channels have been developed in attempts to overcome these problems. See, e.g. United States Patents 5,030,225 and 5,092,871. One critical event in regeneration across a gap in smooth-walled silicone elastomer tubes is the formation of a fibrin cable bridge which serves as a scaffold for migrating cells and elongating axons. Schwann cells, axons, endothelial cells and fibroblasts subsequently enter the gap region and orient into regeneration units, blood vessels and epineurial and perineurial tissue, respectively. Aebischer et al., Brian Research, 531, pp. 211-18 (1990).

The agarose hydrogel compositions of this invention may be useful in nerve guidance channels. Such nerve guidance channels are well known in the art. Synthetic guidance channels have been used as inert

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conduits providing axonal guidance, maintaining growth factors, and preventing scar tissue invasion. Permselective channels with a molecular weight cut-off of 50,000 daltons allowed regeneration of nerves in a mouse sciatic nerve model. The regenerated nerves were characterized by fine epineurium and high numbers of myelinated axons. Aebischer et al., "The Use Of A Semi-Permeable Tube As A Guidance Channel For A Transected Rabbit Optic Nerve", In Gash & Sladek (Eds) Progress in Brain Research, 78, pp. 599-603 (1988).

Permselective channels may support regeneration by allowing inward passage of nutrients and growth or trophic factors from the external host environment, while preventing the inward migration of scar-forming cells. Cells participating in the wound healing phenomena are known to release various peptide growth factors. Several of these factors have molecular weights in the range 10-40,000 daltons. For example, activated macrophages secrete numerous growth factors, including NGF, bFGF, and apolipoprotein E.

Schwann cells distal to the nerve injury express low affinity NGF receptors, as well as apolipoprotein B and E receptors. Binding of apolipoprotein E to these receptors may enhance lipid uptake which can eventually be used in remyelination. Aebischer et al., Brain Research, 454, pp. 179-87 (1988). Appropriate choice of the molecular weight cut-off for the permselective channels will allow retention of laminin (a high molecular weight glycoprotein) within the nerve guidance channel. Similarly, blood vessels located in the proximal nerve stump may supply high molecular weight serum molecules such as fibronectin or glycoproteins that have supported neuronal survival and neurite extension

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in vitro. Aebischer et al., Brain Research, 454, pp. 179-87 (1988).

The nerve guidance channels of the present invention include an implantable, biocompatible tubular permselective membrane having openings to receive the severed nerve. The lumen of the membrane preferably has a diameter ranging from about 0.5 mm to about 2.0 cm, to permit the nerve to regenerate through it. The thickness of the membrane may range from about 0.05 to about 1.0 mm. In some embodiments the membrane has a molecular weight cut-off of about 100,000 daltons or less. The membrane is preferably impermeable to fibroblasts and other scar-forming connective tissue cells. Additionally, the membrane may be composed of a biodegradable material. An agarose matrix is disposed in the lumen of the nerve guidance channel. The agarose concentration should range between 0.5 to 1.25%, preferably 1.0%. The average gel pore radius can vary between 120 to 290 nm, and is most preferably approximately 150 nm.

The optimal concentration of agarose gel for use as a regeneration matrix will vary according to the intended use of the matrix. The optimal concentration for in vitro use may not be optimal for the in vivo milieu. Neurite outgrowth in agarose gels is strongly dependent upon the pore size of agarose gels. Syneresis at the channel mid-point could alter the pore size of agarose gels enough to inhibit regeneration and therefore result in the absence of nerve cable in the mid-portion of the regenerated nerve bundle. It is important to account and if possible, correct for syneresis of the gel at channel mid-point. This may be overcome by two strategies. One, the use of more dilute agarose gels to fill the channels may accommodate syneresis in the middle and still retain



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the pore size of gel at the channel midpoint to ranges permissible for neurite extension. Second, the use of a rough inner membrane of the channel may serve to prevent the fibroblast induced syneresis of the gel  
5 inside the guidance channel (Aebischer et al., Brain Research, 531, pp. 21-18 (1990)).

In one method of repairing a severed nerve according to this invention, the cut ends of the nerve are placed in proximity with each other within the  
10 lumen of the tubular guidance channel. The cut ends of the nerve are gently drawn into the channel by manual manipulation or suction. The nerve ends may be secured in position without undue trauma by sutures, or using a biocompatible adhesive, e.g., fibrin glue, or by  
15 frictional engagement with the channel.

In addition to the agarose matrices of the present invention, the lumen of the channel may be "seeded" with a substance that protects, nurtures, and/or enhances nerve growth therethrough. Useful  
20 substances include biologically active factors, such as nerve growth factors, brain derived growth factor, basic fibroblast growth factor, acidic fibroblast growth factor, or active fragments thereof. Alternatively, the lumen may be seeded with nerve-  
25 associated glial cells, such as Schwann cells. These growth factor cells and other nutrients may be provided within the nerve guidance channel as described supra.

The bioartificial ECMs of this invention may also carry one or more of the several cell adhesion  
30 molecules that have been identified to play an important role in cell migration and neurite extension in the developing nervous system, including N-CAM, Ng-CAM (Crossin et al., Proc. Natl. Acad. Sci., 82, pp. 6942-46; Danilooff et al., J. Neurosci., 6, pp. 739-  
35 58 (1986); tenascin (Wehrle et al., Development, 1990,

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pp. 401-15 (1990) and L1 (Nieke et al.,  
Differentiation, 30, pp. 141-51 (1985).

Other useful factors include cAMP, or analogs thereof, including 8-bromo cAMP or chlorophenythio  
5 cAMP. See, e.g., United States Patents 5,030,225 and 5,011,486.

The nerve guidance channels of this invention may additionally be seeded with Schwann cells. Schwann cells resident in the peripheral nerve trunk play a  
10 crucial role in the regenerative process. Schwann cells seeded in permselective synthetic guidance channels support extensive peripheral nerve regeneration. Schwann cells secrete laminin, which possesses neurite-promoting activity in vitro. See,  
15 e.g., Aebischer et al., Brain Research, 454, pp. 179-87 (1988). The Schwann cells are preferably longitudinally oriented along the guidance channel. This can be achieved by thermal manipulation of the agarose gel to orient the pores longitudinally, using  
20 methods well known in the art.

Insulin-like growth factor 1 (IGF-1) may also be useful in increasing the rate of regeneration of transected peripheral nerves and to decrease the persistence of permanent nerve function deficiency.  
25 See, e.g., United States Patent 5,068,224.

Preferably the permselective membrane is fabricated to be impermeable to some of these substances so that they are retained in the proximity of the regenerating nerve ends. See, e.g., Aebischer,  
30 United States Patent 5,011,486.

Briefly, various polymers and polymer blends can be used to manufacture the nerve guidance channel. Polymeric membranes forming the nerve guidance channel may include polyacrylates (including acrylic  
35 copolymers), polyvinylidenes, polyvinyl chloride

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copolymers, polyurethanes, polystyrenes, polyamides, cellulose acetates, cellulose nitrates, polysulfones, polyphosphazenes, polyacrylonitriles, poly(acrylonitrile/covinyl chloride), as well as  
5 derivatives, copolymers and mixtures thereof.

The membranes used in the nerve guidance channels of this invention may be formed by any suitable method known in the art. One such method involves coextrusion of a polymeric casting solution  
10 and a coagulant as described in Dionne, WO 92/19195 and United States patents 5,158,881, 5,283,187 and 5,284,761, incorporated herein by reference.

The jacket may have a single skin (Type 1, 2), or a double skin (Type 4). A single-skinned hollow  
15 fiber may be produced by quenching only one of the surfaces of the polymer solution as it is co-extruded. A double-skinned hollow fiber may be produced by quenching both surfaces of the polymer solution as it is co-extruded. Typically, a greater percentage of the  
20 outer surface of Type 1 hollow fibers is occupied by macropores compared to Type 4 hollow fibers. Type 2 hollow fibers are intermediate.

The jacket of the nerve guidance channel will have a pore size that determines the nominal molecular  
25 weight cut off (nMWCO) of the permselective membrane. Molecules larger than the nMWCO are physically impeded from traversing the membrane. Nominal molecular weight cut off is defined as 90% rejection under convective conditions. Typically the MWCO ranges between 50 and  
30 200 kD, preferably between 50 and 100 kD

A preferred nerve guidance channel according to this invention for promoting regeneration of peripheral nerves across large gaps, includes an agarose matrix optimized in the regeneration  
35 environment to suit the re-growth of a particular

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nerve, the presence of Schwann cells seeded in the lumen of the channel, and the local release of growth factors from the wall of the guidance channel.

In one embodiment, agarose hydrogels are used  
5 as a carrier to present the laminin derived oligopeptide CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) to the site of nerve injury in an attempt to enhance nerve regeneration. Dorsal root ganglia have been shown to be responsive to CDPGYIGSR  
10 (CysAspProGly TyrIleGlySerArg; SEQ ID NO:1) in vitro and show the greatest enhanced neuritic spread and neurite outgrowth compared to other fragments derived from laminin.

Compared to the ventral roots, transected  
15 dorsal roots have a limited regeneration through polymeric guidance channels across a nerve gap of 4 mm in adult rats after 4 weeks. McCormack et al., J. Comp. Neurol., 313, pp. 449-56 (1991). Rat hind limb sural nerves have been shown to contain mainly  
20 sensory nerves with only 5% to 10% motor fibers Peyronnard et al., Muscle and Nerve, 5, pp. 654-60 (1982). Dorsal root and sural nerve transection enables comparison of regeneration between a sensory nerve cable close to the central nervous system, i.e.,  
25 dorsal root, and one that is more peripheral, i.e., the sural nerve.

In order that this invention may be better understood, the following examples are set forth. These examples are for purposes of illustration only,  
30 and are not to be construed as limiting the scope of this invention in any way.

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ExamplesExample 1 - Characterization of Agarose Hydrogel Matrices**E14 striatal cell assay**

- 5                    Striata were removed from 14-day-old rat embryos and mechanically dissociated with a fire-narrowed Pasteur pipette in serum-free medium. Different concentrations of agarose gels in the range of 0.5% to 5.0% were made in phosphate buffered saline
- 10                    pH 7.4. Gel solutions were sterilized by passing them through sterile 0.2 micron filters. Isolated E14 striatal cells were mixed into the gel solutions at room temperature in 1 ml syringes, each containing 300  $\mu$ l of appropriate agarose gel concentration. The cell-
- 15                    gel solution mixture was then decanted into 48 well Costar tissue culture dishes. The dishes were cooled to 4°C for the cell-gel solution mixture to gel, suspending the striatal cells in 3-D. One ml of a 1:1 mixture of DMEM and F12 nutrient (Gibco) supplemented
- 20                    with 5% fetal calf serum, glucose (33 mM), glutamine (2mM), sodium bicarbonate (3 mM), HEPES buffer (5 mM, pH 7.4), insulin (25  $\mu$ g/ml), transferrin (100  $\mu$ g/ml), putrescine (60  $\mu$ g/ml), progesterone (20 nM) and sodium selenite 30 nM) (all from Sigma) was added to the top
- 25                    of the gels. The gels were cultured in an incubator at 37°C in 95% air, 5% CO<sub>2</sub> and 100% humidity. Striatal cells were also suspended in 100% Matrigel® at 4°C and quickly decanted into 48 well Costar dishes and cultured in the manner describes above.
- 30                    The percent striatal cells expending neurites of every 500 cells suspended in 3-D was measured for the agarose gel range of 0.5% to 5.0% (wt/vol) at 24, 48 and 72 hours in culture. Neurite extension was observed under light microscopy using a Zeiss Axiovert
- 35                    MC100 phase inversion microscope. All neurites whose

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length was greater than twice the striatal cell body diameter were counted.

E14 striatal cells extended neurites in 3-D in 1% agarose gels. Neurite extension from E14 striatal cells in 1% agarose gels was comparable to neurite extension in 100% Matrigel® after 72 hours in culture. E14 striatal cells extended neurites in the 0.5% to 1.25% gel range but did not extend neurites above a threshold concentration of 1.25% (wt/vol).

#### 10 Chick dorsal root ganglion assay

Dorsal root ganglia were dissected from E9 chick embryos by a standard protocol. Chick embryos were immobilized in a prone position and a 3 mm long incision made on either side of the spine exposed DRGs for explantation. The DRGs were added to a 300 µl solution of 1% agarose in a 1ml syringe at room temperature, mixed gently and decanted into custom-built 9x9mm cube shaped glass culture dishes. The 9x9 mm dishes were then placed at 4°C for agarose to gel, trapping DRGs in 3-D. The cubic glass dish enables visualization of the X-Z axis, which is the plane perpendicular to the bottom of the culture dish. Three hundred microliters of 1% Ag-Plain gel solution was drawn into a 1 ml syringe, and the DRGs added to the gel solution with a micropipette. The ganglion-gel solution mixture was then decanted into the 9x9 dishes and cooled at 4°C for 5 min, trapping the DRGs in the gel. Each well had two DRGs suspended in it. One ml of DMEM/F12 medium (Gibco) containing 10 ng/ml 2.5s nerve growth factor (Sigma), glucose (0.3%), penicillin-streptomycin (1%), L-glutamine (200 mM), KCl (1.5mM), insulin (0.08 mg/ml), transferrin (10 mg/ml), putrescine (6mM), and 5% fetal calf serum was added to the top of the gels. The cultures were maintained in

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an incubator at 37°C with 100% humidification, 95% air and 5% CO<sub>2</sub>. The cubic glass dish was flipped on its side after 6 days in culture, exposing the X-Z axis for analysis with light microscopy.

5           For neurite extension analysis along the X-Y axis, which is the plane parallel to the bottom of the culture dish, DRGs were suspended in plain agarose, agarose-GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2), agarose-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID  
10 NO:1), agarose-x-IKVAV(IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3)-x, agarose-PEPMIX and agarose-GGGGG (GlyGlyGlyGlyGly; SEQ ID NO:4) gels in standard 48 well Costar tissue culture dishes in the manner described above for the cubic glass culture dishes. At least 6  
15 ganglia were analyzed for each type of agarose gel. The final concentration of the cell-gel solution mixture was 0.83% (wt/vol) and the total cell-gel volume in each culture well was 300 microliters.

          Chick DRGs extended neurites in 1% agarose  
20 gels in both the X-Y and X-Z planes when cultured in the custom designed 9x9 mm glass cubic dishes after 6 days in the presence of NGF. DRGs extended long and tortuous neurites along the X-Y axis and the X-Z axis demonstrating the 3-D nature of neurite extension in  
25 agarose gels.

#### Gel Characterization

          a. Hydraulic permeability: Gel blocks of different concentrations, each of thickness 0.5 cm and radius 1 cm, were mounted on a custom-built water  
30 column. Each block was subjected to a known hydraulic pressure, typically a 100 cm high H<sub>2</sub>O column yielding approximately 24525 dynes/cm<sup>2</sup>. The hydraulic permeability per unit time for a given hydraulic pressure was measured for the various gel

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concentrations. The average pore radius of the gel concentration range 0.5% to 5.0% was calculated as described by Refojo et al., J.Appl.Poly.Sci., 9, pp. 3417-26 (1965) using the hydraulic permeability.

5           The average pore radius, calculated from the hydraulic permeability measurements of the various agarose gels, decreased exponentially as the gel concentration increased (Figure 1). E14 striatal cells did not extend neurites beyond a threshold agarose gel  
10 pore radius of 150 nm. The slope of the curve depicting pore radius was steep between gel concentrations of 1% and 2% indicating a strong dependence of pore size on gel concentration.

**b. Scanning Electron microscopy (SEM):**

15 Agarose gels in the range 0.5% to 2.0% were freeze-dried, mounted on aluminum stubs, coated with gold and analyzed under a Joel 35M scanning electron microscope. Representative sections of the scanning electron micrographs were selected for evaluating the morphology  
20 and size of the pores.

Scanning electron micrographs of different concentrations of agarose gels revealed an open-cell morphology.

**c. Electron microscopy (ESEM):** Agarose gels  
25 of the concentration range 0.5% to 2.5% were analyzed with an environmental scanning electron micrograph (Electroscan ESEM, type E3) under partially hydrated states to qualitatively asses gel pore morphology.

A decline in gel cavity radius was noted with  
30 increasing gel concentration. However, the nature and quality of images obtained with the ESEM allowed only qualitative conclusions on gel pore size to be drawn with confidence.

**d. Gel electrophoresis:** The electrophoretic  
35 mobility of insulin (Mw 5,700), bovine serum albumin



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(Mw. 66,000; radius 140 Angstroms) and bovine thyroglobulin (Mw. 669,000) in 1%, 2% and 4% agarose gels was measured under a constant electrophoretic voltage gradient. Twenty ml of the appropriate agarose gel concentration was poured into a DANAPHOR model 100 mini gel electrophoresis apparatus (Tectate S.S, Switzerland) with platinum electrodes. The proteins insulin, albumin and thyroglobulin were then subjected to a constant electrophoretic voltage gradient of 1 to 12V. The protein electrophoretic mobility was measured in the 1%, 2% and 4% agarose gels by measuring distance traveled per unit time. The relative electrophoretic velocity was then calculated after taking into account the isoelectric points of the different proteins, the voltage employed and the time of exposure to enable electrophoretic mobility comparisons of insulin, albumin thyroglobulin in the agarose gels.

The relative electrophoretic mobility of the globular proteins insulin, albumin and thyroglobulin, fell with increasing gel concentration. The electrophoretic mobility of insulin and albumin decreased by relatively small percentage in 2% agarose gels compared to 1% gels i.e., by 5.7% and 2.9% respectively. In contrast, the relative electrophoretic mobility was attenuated by 33.3% in 2% agarose gels relative to that in 1% agarose gels for the large molecular weight globular protein, bovine thyroglobulin.

#### Laminated Gels

A 3 mm thick, cell-free layer of non-permissive 2% agarose gel solution, a 1 mm layer of permissive 1% gel solution with chick DRGs mixed in it, and an additional 3 mm, cell-free layer of non-permissive 2% agarose solution were serially cooled and

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gelled in a custom designed 9x9 mm cubic glass dishes. The dishes were then turned on their side exposing the X-Z axis and analyzed under light microscopy after 6 days in culture. The gel interfaces were examined  
5 for neurite cross-over from one gel layer to the other.

Chick DRGs suspended in the permissive 1% agarose gel layer extended neurites only in the 1% gel and did not cross-over the 1%:2% gel interface. In comparison, many neurites were able to cross-over a  
10 control gel interface of 1%:1% agarose gel. By experimental design, all the neurites encountering the interfaces were extending in the X-Z axis of the gel, perpendicular to the bottom of the culture dish.

15 Example 2 - A Bioartificial ECM of Oligopeptide-derivatized Agarose

**Preparation of agarose gels**

One percent (wt/vol) hydroxyethylated agarose (SeaPrep®, FMC Corp. Rockland, Maine) gels were prepared by dissolving agarose in phosphate buffered  
20 saline (PBS) at pH 7.4. The gel solutions were passed through a 0.2 micron filter for sterilization. Gel solutions were then placed at 4°C, allowed to gel, and stored at 4°C until they were derivatized with peptides.

25 **Immobilization of laminin oligopeptides**

Agarose gels were derivatized with 1,1 carbonyldiimidazole (CDI) (Sigma) using a modified version of the protocol described by Hearn, Methods Enzymol., 135, pp. 102-17 (1987). See Figure 2 for  
30 schematic. Three to four ml gel blocks of 1% agarose were dehydrated by repeated washes in acetone followed by acetone which was dried under 4 Angström molecular sieves (Sigma). A 150mg/25ml CDI solution prepared in

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dry acetone was added to the acetone washed agarose gels (5ml/3g gel block). The activation reaction was allowed to proceed for 9 min with gentle agitation. Gels were then washed five times with dry acetone for 6 min per wash to remove unbound CDI.

CDI activated gels were then exposed to various oligopeptides dissolved in 100 mM sodium bicarbonate buffer solution at pH 8.5 at a concentration of 0.6 mg/ml. Peptide coupling reaction was allowed to proceed for 36 hr under gentle agitation.

The gels were then washed thoroughly with PBS for 48 hr, further quenched in sodium bicarbonate for 2 hr at room temperature, lyophilized and re-dissolved to the desired gel concentration of 1.0%.

The peptides used were GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2) (Telios pharmaceuticals, San Diego CA), CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1), the 19-mer sequence CSRARKQAASIKVAVSADR (CysSerArg AlaArgLysGlnAlaAlaSerIleLysValAlaValSerAlaAspArg; SEQ ID NO:3), an x-IKVAV-x containing sequence (x-IleLysValAlaVal-x; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) (Anawa, Wagen, Switzerland) and as a control, GGGGG (GlyGlyGlyGlyGly; SEQ ID NO:4) (Sigma). A cocktail of the three aforementioned peptides (PEPMIX) was also immobilized to the hydrogel backbone at a concentration of 2 mg each in a total of 5 ml buffer solution.

The 1'1 carbonyldiimidazole coupling reaction used for immobilizing peptides to the agarose gels was verified by binding radiolabelled <sup>14</sup>C glycine as a model amino compound for the various peptides. Gels which were not activated with CDI, but exposed to <sup>14</sup>C glycine were used as controls. Beta counts from CDI activated and CDI deficient gels were counted with a B

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counter (LKB Wallac, 1217 RackBeta liquid scintillation counter) after dialyzing for 12 days.

CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) was labelled with  $^{125}\text{I}$  using lactoperoxidase (Sigma). Ten  $\mu\text{l}$  of CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) solution in 0.4 M sodium acetate solution (5  $\mu\text{g}/10\mu\text{l}$  of sodium acetate), 1 millicurie of  $^{125}\text{I}$  (Amersham Radio Chemicals) and 10  $\mu\text{l}$  of hydrogen peroxide ( $\text{H}_2\text{O}_2$ ; 1 in 20,000 parts). The reaction was allowed to proceed for 1 min and stopped with 500  $\mu\text{l}$  of 0.1 M sodium acetate solution.

Radiolabelled CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) was eluted with 20% to 50% methanol in an octodesasilicic acid (ODS) gel column (Shandon Scientific Ltd., Cheshire England).  $^{125}\text{I}$  CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) was coupled to agarose gels in the manner described above. Gamma counts were analyzed with a Packard autoscintillation spectrometer (5416) after 5 days of washing to remove unbound peptide.

Beta counts of  $^{14}\text{C}$  glycine bound to CDI derivatized agarose revealed that up to 37  $\mu\text{g}$  of glycine was retained per gm of gel after 12 days of dialysis. CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) was successfully radiolabelled with  $^{125}\text{I}$  and the peak elution in ODS gels was the 40% methanol. In the presence of CDI, 2.39 times more  $^{125}\text{I}$  labeled CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) was retained in agarose gels compared to gels without CDI after 5 days of dialysis of the gel. The short half-life period of  $^{125}\text{I}$  prevented further washing even though the gamma counts in the CDI deficient gel were falling more rapidly with each successive wash compared to the counts in CDI activated agarose gel.

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### Gel characterization

Gel porosity of underivatized agarose gels and glycine coupled agarose was determined as described in Example 1. The average pore radius of the gels were  
5 determined to be 310 nm for a 0.5% underivatized agarose gel and 360 nm for a 0.5% glycine coupled agarose gel using the water column for hydraulic permeability measurements.

### Dorsal root ganglion assay

10 DRGs were dissected from E9 chick embryos by a standard protocol, as described in Example 1.

Neurite extension was analyzed qualitatively along the X-Y axis using a Zeiss axiovert MC100 TV light microscope at days 2, 4 and 6. Cell viability of  
15 both cell types was assessed by a fluorescein diacetate (FDA) assay at day 6. For the DRG study, the ganglionic cell body area (GCBA), and the total ganglionic spread area (TGSA) defined as the maximum area covered by the ganglion and its neurites, were  
20 measured in the X-Y plane using an NIH Image 1.47 software package. The ratio of TGSA/GCBA, defined as the total neuritic spread of the ganglia, was calculated. The length of five of the longest neurites extended by the DRGs was also measured to assess  
25 neurite extension.

Agarose hydrogels supported neurite outgrowth from DRGs in both X-Y and X-Z planes, demonstrating the 3-D character of neurite outgrowth in agarose gels. Fluorescein diacetate assay showed viable DRG neurons  
30 after 6 days in culture in all agarose gels used.

DRG neurons extended neurites in all of the agarose gels when examined in the X-Y plane in 48 well

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Costar dishes including Ag-Plain and Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1).

The total ganglionic spread area/ganglionic cell body area ratio (TGSA/GCBA) was calculated at days 2, 4 and 65 to account for the variance in the size of the dorsal root ganglia after dissection and as a measure of the total neuritic spread in the gels (Figure 3). Compared to AgPlain gels, Ag-PEPMIX gels showed significantly greater neuritic spread at all measured time points ( $p < 0.05$ ) while CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) had significantly greater neuritic spread only at day 6 ( $p < 0.05$ ). In contrast, IKVAV-derivatized (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) gels had significantly lesser neuritic spread at all measure time points while GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2) had significantly lower neuritic spread only at days 2 and 4 ( $p < 0.05$ ), compared to AgPlain gels at all measured time points.

CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) and PEPMIX derivatized agarose gels supported significantly longer neurites than underivatized agarose gels ( $p < 0.05$ ) at day 6 (Figure 4). In contrast, IKVAV-derivatized (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) agarose gels inhibited neurite outgrowth at days 2, 4 and 6 ( $p < 0.05$ ). Ag-GGGGG (GlyGlyGlyGlyGly; SEQ ID NO:4) gels had a significantly lower ( $p < 0.05$ ) neurite length compared to Ag-Plain at day 2 but there was no statistical difference in neurite length between the two gels at days 4 and 6. In all the agarose gels, neurites extended three dimensionally including the X-Z plane. The neurites were long and tortuous, extending up to 1600 microns at day 6 in CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) gels.

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**PC12 cell assay**

PC12 cells (American Type Culture Collection, Rockville, Maryland) were grown in Gibco's RPMI 1640 with 10% fetal calf serum, 5% horse serum, L-glutamine (200 mM) and 1% penicillin-streptomycin. They were primed at the tenth passage with 10 ng/ml 2.5s nerve growth factor (NGF) for 48 hr prior to use. Primed PC12 cells were then mixed in the various agarose gel solutions at a density of 50,000 cells per ml using a method similar to the one described above for DRGs. The cell-gel solution mixtures were poured into 48 well Costar tissue culture dishes and allowed to gel by cooling 4°C. One ml of PC12 medium was added to the top of the gels along with 10 ng/ml of NGF. The cultures were placed in an incubator at 37°C with 100% humidification, 93% air and 7% CO<sub>2</sub>.

The percentage of PC12 cells extending neurites in the various agarose gels was assessed by selecting optical cylindrical sections of the gels for analysis at a magnification of 200x under light microscopy. Optical cylindrical sections were chosen by serially moving the microscope visual field from the center of the dish to the side along parallel paths. At least nine hundred PC12 cells were examined for neurite extension in each well and at least 6 wells of each type of agarose gel were analyzed. The inclusion criterion for a positive count was a neurite longer than on PC12 cell body diameter. Two sided Student t-test was employed to determine statistical significance with  $p < 0.05$  considered to be significant.

PC 12 cells were viable as evidenced by an FDA assay in all gels except Ag-GGGGG (GlyGlyGlyGlyGly; SEQ ID NO:4). PC12 cells also extended neurites in all gels after 6 days in culture except in Ag-GGGGG

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(GlyGlyGlyGlyGly; SEQ ID NO:4) gels. However, the percent PC12 cells that extended neurites depended upon the type of gel used. At all measured time points, the laminin oligopeptide derivatized agarose gels supported  
5 significantly greater percent neurite extension ( $p < 0.05$ ) than underivatized Ag-Plain gel. Neuritic extension in Ag-x-IKVAV(IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3)-x gels was significantly higher ( $p < 0.05$ ) compared to the Ag-GRGDSP (GlyArgGlyAspSerPro; SEQ ID  
10 NO:2), Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1), Ag-PEPMIX and underivatized agarose gels at days 4 and 6 (Figure 5).

In the absence of NGF, no neurite extension was observed in any of the agarose gels. No measurable  
15 difference in the neurite length was observed between the Ag-plain and the various derivatized agarose gels (data not shown). The overall percent of PC12 cells extending neurites in the various derivatized agarose gels, IKVAV-derivatized (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of  
20 SEQ ID NO:3) gels including, was lower than the percent cells extending neurites in 100% Matrigel® or 1.2 mg/ml Vitrogen® gels (36.5% and 32.8% respectively at 4 days).

### Example 3

25 The effect of derivatized agarose gels on the regeneration of transected rat spinal dorsal roots was evaluated by using 6 mm long polymer guidance channels filled with CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) - agarose to bridge a 4mm gap in a transected  
30 dorsal root model. After 4 weeks, significantly greater numbers of myelinated axons were observed in the channels filled with CDPGYIGSR (CysAspProGly TyrIleGlySerArg; SEQ ID NO:1) - agarose gels compared to channels filled with underivatized agarose gels.



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### Guidance channels

Guidance channels were fabricated from acrylonitrile-vinylchloride (PAN/PVC) copolymers by wet-jet wet spinning. Cabasso, I., In Encyclopedia of Chemical Technology, 12, pp. 492-517 (1978); Aebischer et al., Biomaterials, 12, pp. 50-56 (1991). The channel consisted of a smooth inner and outer skin with a molecular weight cut-off 50,000 Da, with an open trabecular network in between, which provided the structural support for the channel. Channels of internal diameter 0.8 mm and 0.5 mm were fabricated for the spinal root and sural nerve model respectively.

### CDPGYIGSR derivatized agarose gels

One percent (wt/vol) agarose gels were derivatized with LN oligopeptide CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) as described in Example 2. PAN/PVC guidance channels were sterilized by storing in 70% ethanol overnight. Guidance channels were filled with CDPGYIGSR (CysAspProGlyTyrIle GlySerArg; SEQ ID NO:1) derivatized and underivatized agarose gel solutions and the ends of the channel were sealed with heat to prevent leakage of gel solution. The channels were then cooled to 4°C to allow agarose solutions to gel. After agarose solutions "gelled" inside the channels they were cut to a standard length of 6 mm and 10 mm for the dorsal root and sural nerve implants respectively.

### Animal model and guidance channel implantation

Adult male albino rats (Wistar) weighing 180 to 220 gm were anesthetized by an intraperitoneal injection of pentobarbital (60 mg/kg). The dorsal operative site was shaved and swabbed with an iodophore

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(Butadiene). A 5 mm dorsal midline incision was made using the iliac crests as landmarks. Retraction of paraspinous muscles exposed L2-L6 vertebrae. Bilateral laminectomies were performed on L2 to L5 to expose the spinal cord. The spinal roots were exposed by a midline incision on the dura mater. Using a blunt nerve hook the dorsal root exiting the L4 vertebra was identified and a 2 mm section removed. Six millimeter long guidance channels carrying underivatized agarose and CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose were used to bridge the 2 ends of the nerve using a 10-0 monofilament nylon suture (Ethicon) (see Figure 6 for schematic). Five rats received channels filled with underivatized agarose and six animals received channels filled with CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose.

For the sural nerve model, the hind limb operative site was shaved and cleaned with iodophore. "L" shaped incisions were made on the left hind limbs of 180-220 g albino rats (Wistar) along and dorsal to the femur and continuing past the knee. The gluteus maximus muscle was retracted and the sciatic nerve was exposed. The sural branch of the sciatic nerve was identified, followed down beneath the knee joint, and a 2 mm long piece of the nerve was transected. The ends of the nerve were then bridged with guidance channels which were filled with either saline or underivatized agarose or CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose. Five animals received saline filled channels, five received agarose plain channels and four received CDPGYIGSR(CysAspProGly TyrIleGlySerArg; SEQ ID NO:1)-agarose filled channels. All animals were housed in an

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controlled environment with 12 hour on-off light cycles. They received food and water ad libitum.

#### **Implant retrieval and evaluation**

Four weeks post-implantation, the animals  
5 were deeply anesthetized with an intra-peritoneal injection of sodium pentobarbital and transcardially perfused with 200 ml of heparinized physiologic saline followed by 250 ml of a cold 4% paraformaldehyde 2.5% gluteraldehyde solution in phosphate buffered saline at  
10 pH 7.4. The operative site was reopened, and the guidance channel retrieved. The specimens were post-fixed, dehydrated and embedded in glycomethacrylate. The cable cross-sectional area, the number of myelinated axons at 1.5 mm, 2 mm and 3.5 mm of the  
15 dorsal root channel were analyzed with NIH software 1.47 interfaced with a Zeiss Axiovert MC100 microscope. The proximal nerve stump was defined to be at the 0 mm point and the distal nerve stump at the 4 mm point of the 4 mm nerve gap in dorsal roots. For the sural  
20 nerve implants, the cable cross-sectional area and the number of myelinated axons at 2 mm point along the channel was evaluated on 6 micron semi-thin sections. All sections were stained with osmium tetroxide and cresyl violet.

#### **25 Dorsal root regeneration**

Semi-thin cross-sections along the length of the guidance channel showed that myelinated axons were present all along the 4 mm nerve gap. Histological sections of guidance channels filled with agarose gels  
30 carrying regenerated dorsal roots showed doughnut shaped, centrally located nerve cables. Tissue reaction to the PAN/PVC polymer consisted of multi-nucleate giant cell and connective tissue infiltration.

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Neovascularisation was evident in the midst of regenerated nervous tissue along with Schwann cell infiltration. Light micrographs of regenerating dorsal root cables at 4 weeks post-implantation at the mid-point of guidance channels showed comparatively more nervous tissue in channels containing CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) gels relative to channels with underivatized agarose. At the mid-point of the 4 mm nerve gap, the channel filled with AgCDPGYIGSR (CysAspProGly TyrIleGlySerArg; SEQ ID NO:1) had a significantly greater ( $p < 0.05$ ) number of myelinated axons compared to the channels with agarose plain gels (see Figure 7). The number of myelinated axons at the midpoint in the agarose plain filled channels were comparable to those in saline filled channels described by McCormack et al., J.Comp. Neurol., 313, pp. 449-56 (1991). CDPGYIGSR-derivatized (CysAspProGly TyrIleGlySerArg; SEQ ID NO:1) agarose gels had a significantly higher density ( $p < 0.05$ ) of myelinated axons at 0.5 mm and 2.0 mm along the channel at 4 weeks postimplantation (Figure 8). The density of myelinated axons is defined as the number of myelinated axons per  $10^5$  square microns of cable area.

#### **Sural nerve regeneration**

All of the 10 mm long guidance channels implanted to bridge transected sural nerves were kinked due to flexion at the rats' knee-joint. Histological evaluation of nerves proximal to the kink showed regenerated cables located at the center of the channel with myelinated axons. All cables present in the channel distal to the kink contained a fibrotic cable but no myelinated axons. Almost all of the kinks occurred between 2-4 mm into the nerve gap. Therefore only the 2 mm point was analyzed for myelinated axons

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in this study. Light micrographs of regenerating sural nerves, 4 weeks post-implantation at the 2.0 mm point in polymer guidance channels filled Ag-CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) showed  
5 relatively higher numbers of nerve fascicles and neovascularization than in the other guidance channels. At 2 mm into the 8 mm nerve gap, guidance channels filled with AgCDPGYIGSR(CysAspPro GlyTyrIleGlySerArg; SEQ ID NO:1) gels had a significantly greater number (p  
10 < 0.05) of myelinated axons compared to either the saline filled channels or agarose plain filled channels. See Figure 9. At this point, the density of myelinated axons in the CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose  
15 channels was also significantly greater (p < 0.05) than the density of axons in the agarose-plain and saline-filled channels (Figure 10). When the number of myelinated axons was compared to the number present in a normal control sural nerve, at 4 weeks, the average  
20 number of myelinated axons regenerated across the saline filled channel was 12% of control sural nerve, 13% of control nerve for AgPlain filled channels and 40% of control sural nerve for AgCDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) filled  
25 channels.

Transected rat dorsal roots regenerate across a 4 mm gap after 4 weeks in semipermeable guidance channels filled with agarose gels derivatized with LN oligopeptides. This is in contrast to the limited  
30 regeneration obtained by earlier experiments in the same model (McCormack et al., J. Comp. Neurol., 313, pp. 449-56 (1991) using channels filled with saline only. Though there was no significant difference in the number of myelinated axons 0.5 cm into the nerve  
35 gap, channels filled with CDPGYIGSR (CysAspProGly

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TyrIleGlySerArg; SEQ ID NO:1) derivatized agarose gels had significantly greater myelinated axons at the mid point of the channel compared to channels filled with agarose plain. This observation suggests a faster rate  
5 of nerve regeneration in gels derivatized with the CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) compared to AgPlain gels.

The number of myelinated axons at the midpoint of the channel in underivatized agarose gels  
10 was comparable to the number obtained in the saline filled channels of McCormack. This demonstrates that agarose gels are not inhibitory to regeneration in semipermeable channels as some other matrices like Matrigel® have been shown to be. See, e.g., Valentini  
15 et al., Exp. Neurol., 98, pp. 350-56 (1987). CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) derivatized agarose gels also have a higher density of myelinated axons in the regenerated cable compared to  
underivatized agarose gels at 0.5 and 2.0 mm nerve gap  
20 points. This observation coupled with the data on numbers of myelinated axons along the channel, indicates that there is lesser fibrotic tissue per cable area in the derivatized agarose gels compared to underivatized AgPlain gels.

25 The doughnut shape of the regenerated cable at the midpoint of the channel points to possible syneresis of the agarose gels due to cellular activity in the regeneration environment.

All of the 10 mm long guidance channels  
30 across the 8 mm sural nerve gap were kinked distal to the point 2 mm into the nerve gap because of the channel was located across the knee-joint of the rat. However, at the 2 mm point, both the number and density of myelinated axons were greater in channels filled  
35 with CDPGYIGSR-derivatized

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(CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose compared to channels filled with underivatized agarose or saline.

Therefore in both the dorsal root model and  
5 in the more peripheral sural nerve model, CDPGYIGSR-derivatized (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1) agarose channels had greater numbers of myelinated axons in higher densities per cable area compared to channels filled with underivatized agarose or saline  
10 filled channels.

This data indicates the feasibility of developing a matrix designed to enhance nerve regeneration by coupling neurite promoting biomolecules to agarose hydrogels.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: CytoTherapeutics, Inc.
- (ii) TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR A BIOARTIFICIAL EXTRACELLULAR MATRIX
- (iii) NUMBER OF SEQUENCES: 4
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: James F. Haley, Jr. , FISH & NEAVE
  - (B) STREET: 1251 Ave. of the Americas
  - (C) CITY: New York
  - (D) STATE: New York
  - (E) COUNTRY: USA
  - (F) ZIP: 10020-1104
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 08/280,646
  - (B) FILING DATE: 20-JULY-1994
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Haley Jr., James F.
  - (B) REGISTRATION NUMBER: 27,794
  - (C) REFERENCE/DOCKET NUMBER: CII-26 PCT
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (212) 596-9000
  - (B) TELEFAX: (212) 596-9090

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 9 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear



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(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

Cys Asp Pro Gly Tyr Ile Gly Ser Arg  
1 5

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 6 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Gly Arg Gly Asp Ser Pro  
1 5

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 19 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

Cys	Ser	Arg	Ala	Arg	Lys	Gln	Ala	Ala	Ser	Ile	Lys	Val	Ala	Val	Ser
1				5					10					15	

Ala Asp Arg

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 5 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Gly	Gly	Gly	Gly	Gly
1				5

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## WE CLAIM:

1. A bioartificial 3-D hydrogel extracellular matrix wherein the hydrogel is derivatized with at least one ECM adhesion molecule or adhesive peptide fragment thereof.
2. The matrix according to claim 1 wherein the hydrogel is derivatized with a peptide fragment selected from the group consisting of an RGD-containing sequence (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), a YIGSR-containing sequence (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1), an IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3), and a combination of any of the foregoing sequences.
3. The matrix according to claim 2 wherein the hydrogel is derivatized with the sequence CDPGYISR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1).
4. The matrix according to claim 2 wherein the hydrogel is derivatized with the sequence GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2).
5. The matrix according to claim 2 wherein the hydrogel is derivatized with the sequence CSRARKQAASIKVAVSADR (CysSerArgAlaArgLysGln AlaAlaSerIleLysValAlaValSerAlaAspArg; SEQ ID NO:3).
6. The matrix according to any one of claims 1-5 wherein the hydrogel is a polysaccharide.
7. The matrix according to claim 6 wherein the hydrogel is agarose.

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8. The matrix according to claim 7, wherein the agarose concentration ranges between 0.5-1.25% (w/v) and the average gel pore radius is greater than 120 nm.

9. The matrix according to claim 8, wherein the agarose concentration is approximately 1.0% (w/v) and the average gel pore radius is approximately 150 nm.

10. A nerve guidance channel for use in regenerating a severed nerve, comprising:

a) a tubular, biocompatible, semi-permeable membrane, said membrane having openings adapted to receive the ends of the severed nerve, and an inner lumen through which the nerve may regenerate, and

b) a bioartificial 3-D hydrogel extracellular matrix disposed in the lumen of the semi-permeable membrane, wherein the hydrogel is derivatized with at least one ECM adhesion molecule involved in neural cell adhesion, or adhesive peptide fragment thereof.

11. The nerve guidance channel of claim 10 wherein the adhesive peptide fragment is a peptide sequence selected from the group consisting of an RGD-containing sequence (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), a YIGSR-containing sequence (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1), an IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3), and a combination thereof.

12. The nerve guidance channel according to claim 11 wherein the hydrogel is derivatized with the

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sequence CDPGYIGSR (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1).

13. The nerve guidance channel according to claim 11 wherein the hydrogel is derivatized with the sequence GRGDSP (GlyArgGlyAspSerPro; SEQ ID NO:2).

14. The nerve guidance channel according to claim 11 wherein the hydrogel is derivatized with the sequence CSRARKQAASIKVAVSADR (CysSerArgAlaArgLysGlnAlaAlaSerIleLysValAlaValSerAlaAspArg; SEQ ID NO:3).

15. The nerve guidance channel according to any one of claims 10-14 wherein the hydrogel is a polysaccharide.

16. The nerve guidance channel according to claim 15 wherein the hydrogel is agarose.

17. The nerve guidance channel according to claim 16 wherein the agarose concentration ranges between 0.5-1.25% (w/v) and the average gel pore radius is greater than 120 nm.

18. The nerve guidance channel according to claim 16 wherein agarose concentration is approximately 1% and the average gel pore radius is approximately 150 nm.

19. A method for promoting in vivo regeneration of a severed nerve comprising securing the proximal and distal ends of the severed nerve into each end of a tubular nerve guidance channel according to any one of claims 10-18.

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20. A method for promoting in vivo tissue replacement comprising suspending cells in a hydrogel matrix according to any one of claims 1-9 to form a cell-seeded hydrogel matrix, and implanting the cell-seeded hydrogel matrix into a suitable implantation site in a host.

21. The method according to claim 20 wherein the cell-seeded matrix is cultured in vitro prior to implantation in the host.

22. A method for promoting in vivo tissue regeneration comprising implanting a hydrogel matrix according to any one of claims 1-9 into a suitable implantation site in a host.

23. A method for controlling the metabolic function of transplanted cells upon in vivo implantation in a host, comprising:

- a) suspending cells in a bioartificial extracellular matrix according to any one of claims 1-7 form a cell-seeded matrix;
- b) encapsulating the matrix in a semi-permeable membrane to form a bioartificial organ;
- c) implanting the bioartificial organ into a suitable implantation site in a host.

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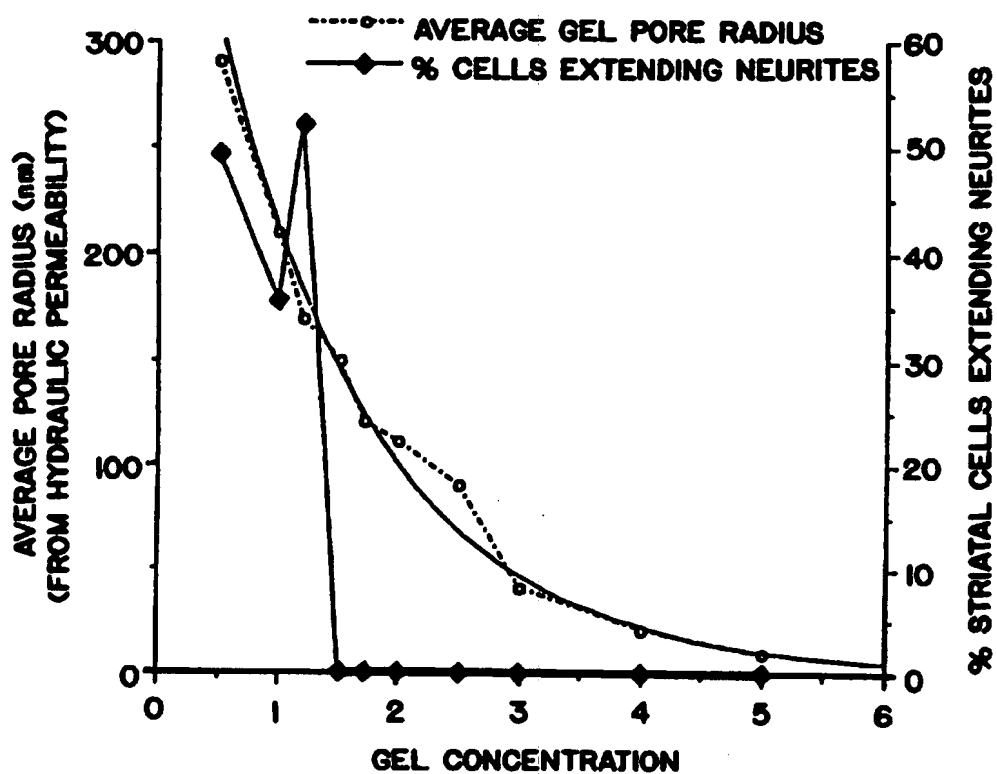
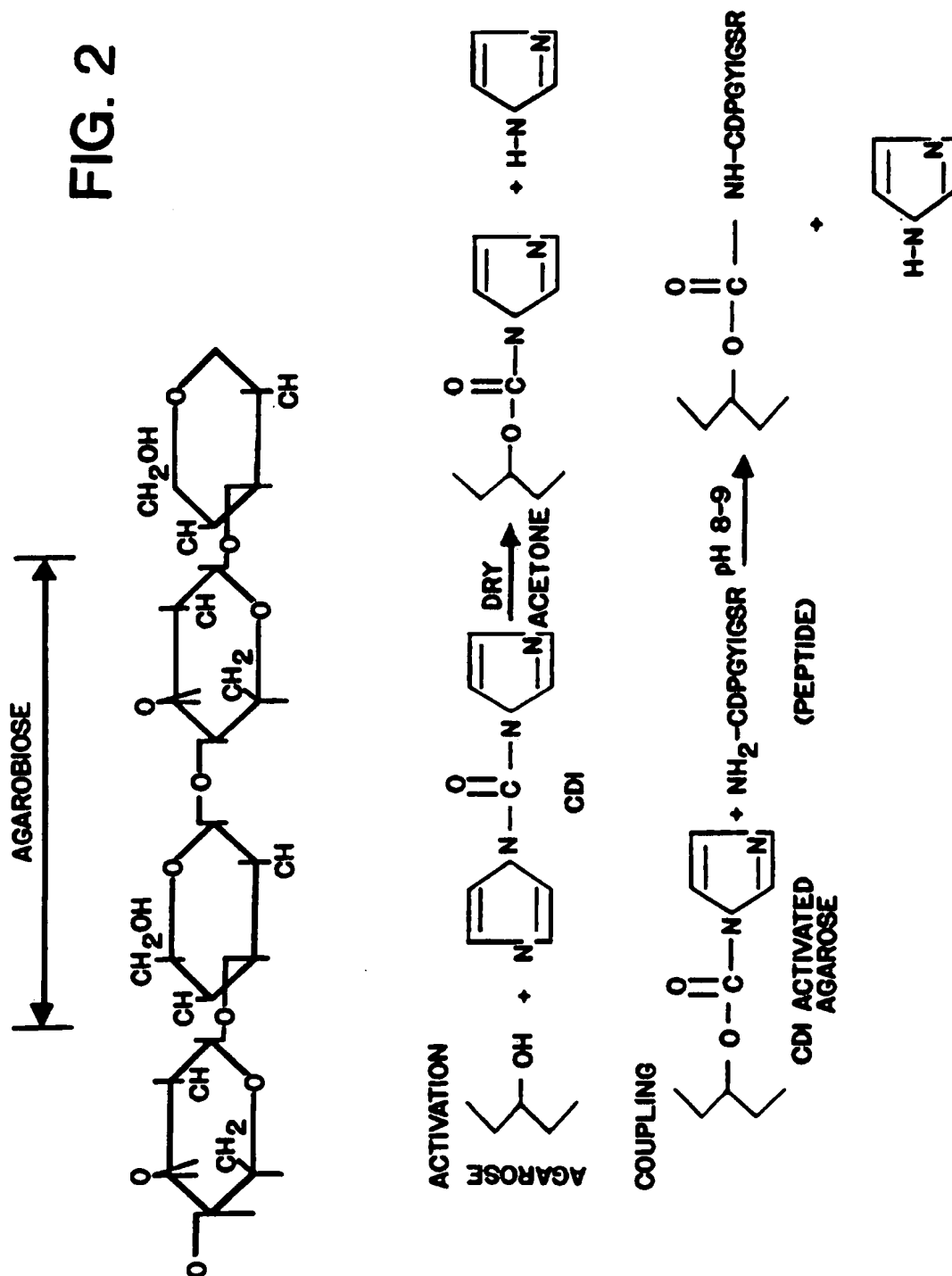


FIG. 1

**FIG. 2**





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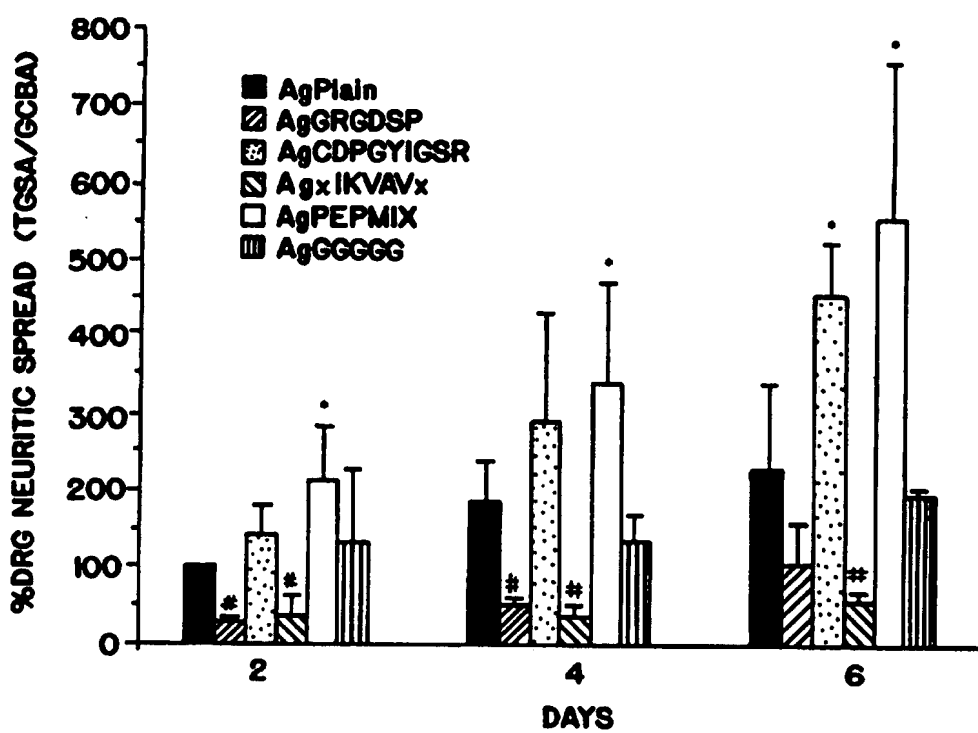


FIG. 3

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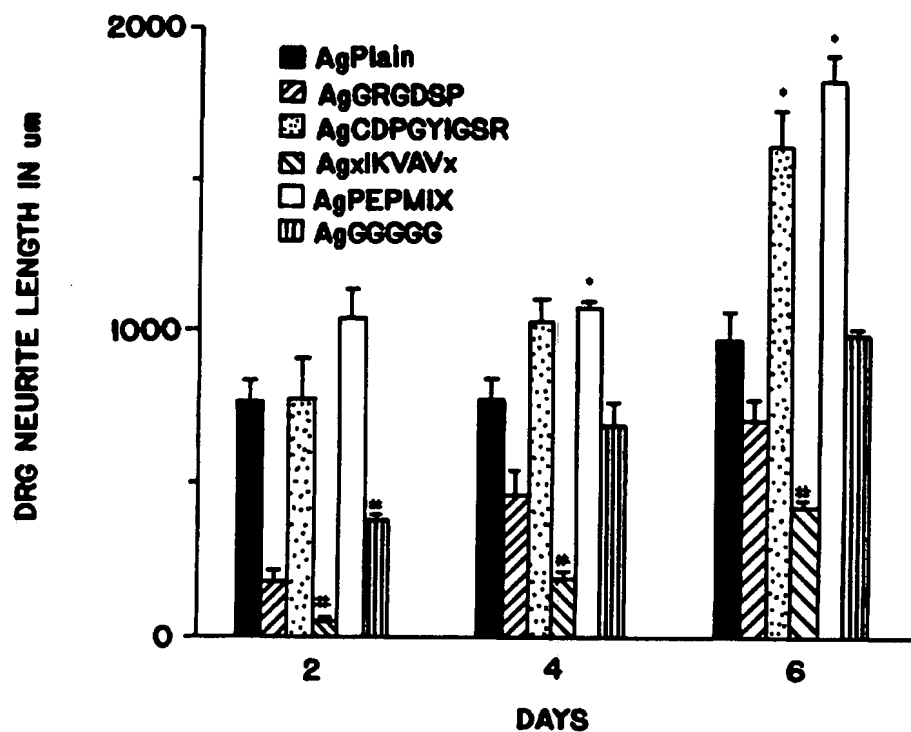


FIG. 4

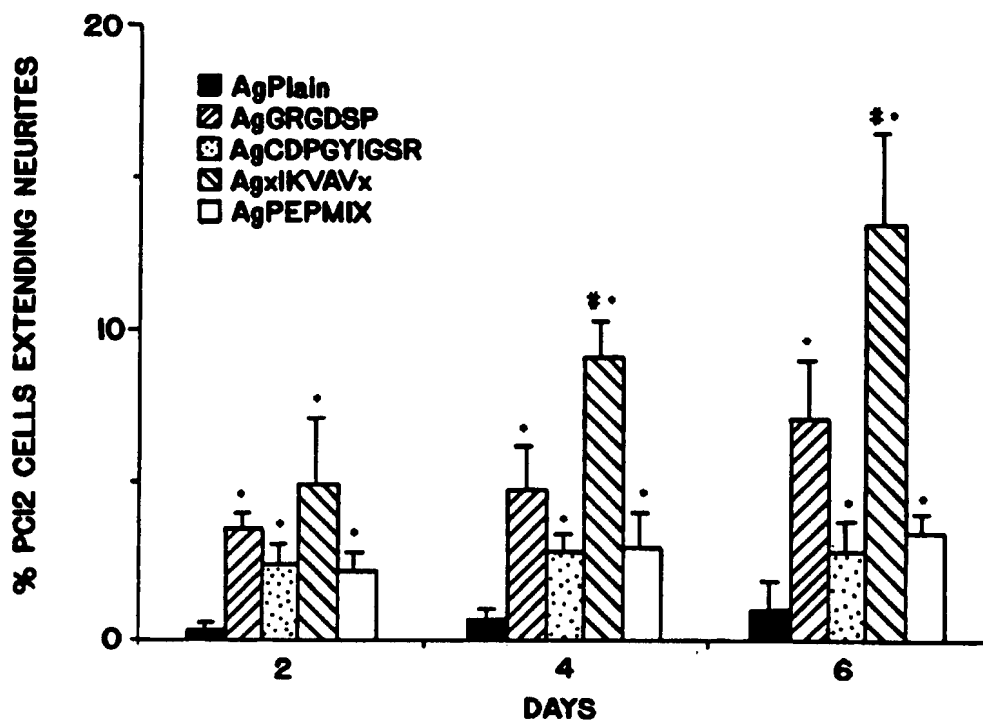


FIG. 5

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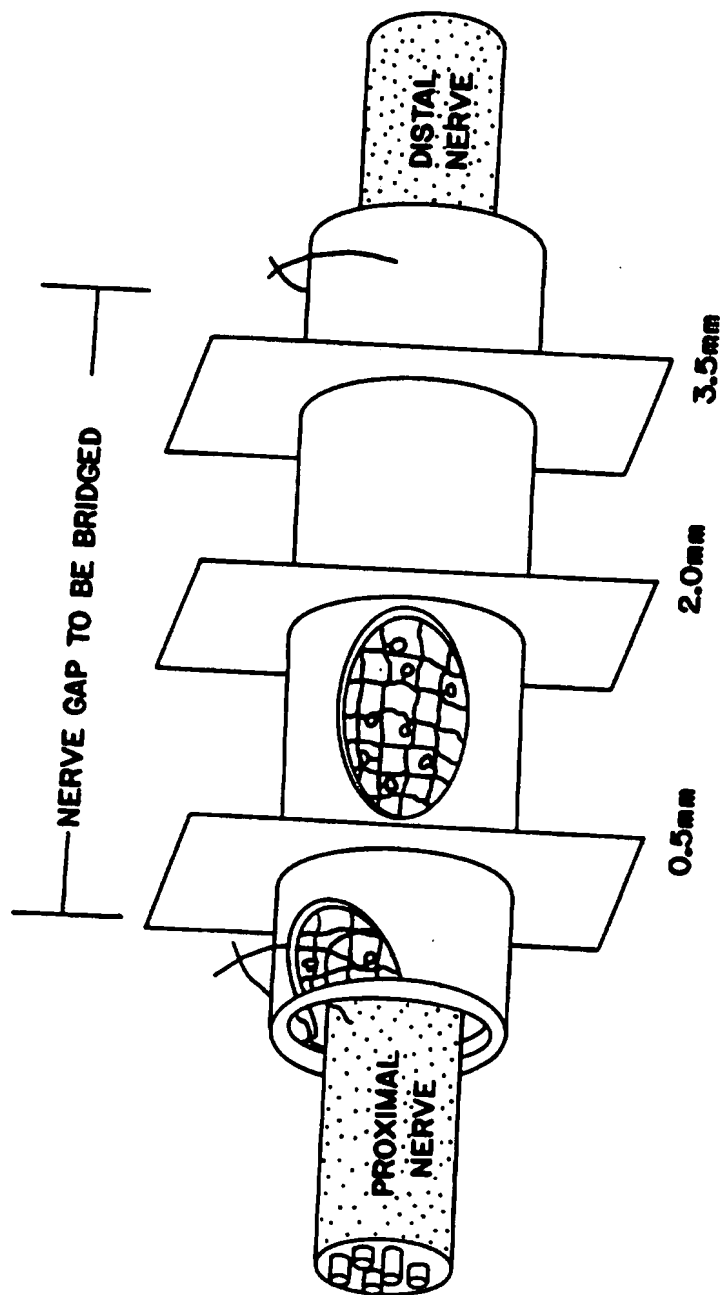


FIG. 6

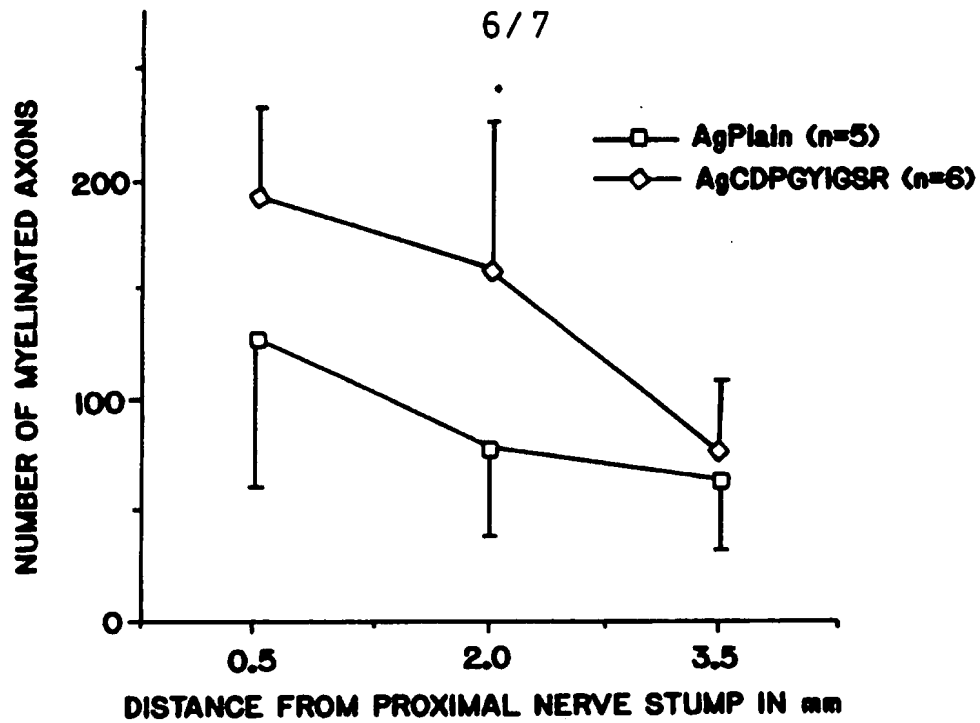


FIG. 7

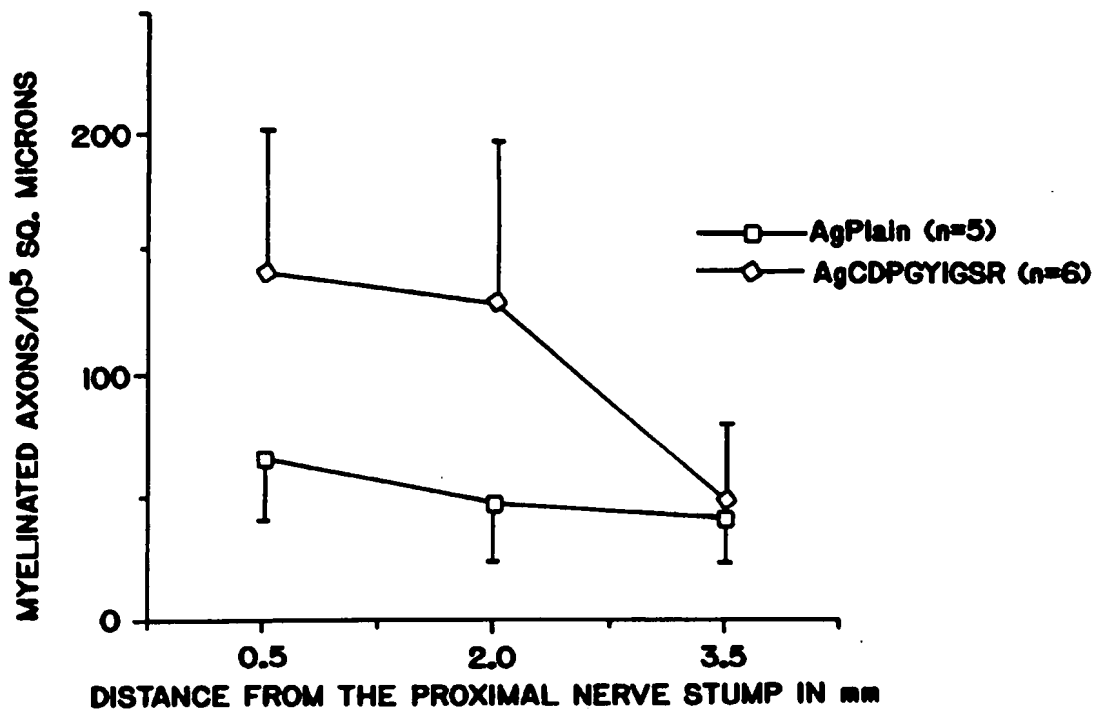


FIG. 8

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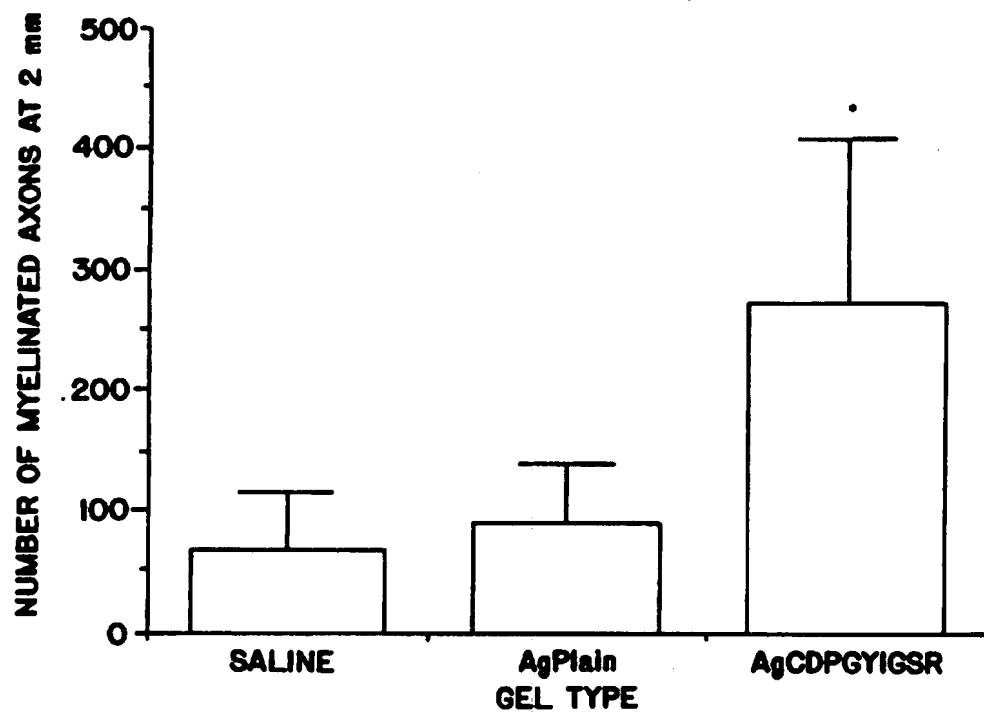


FIG. 9

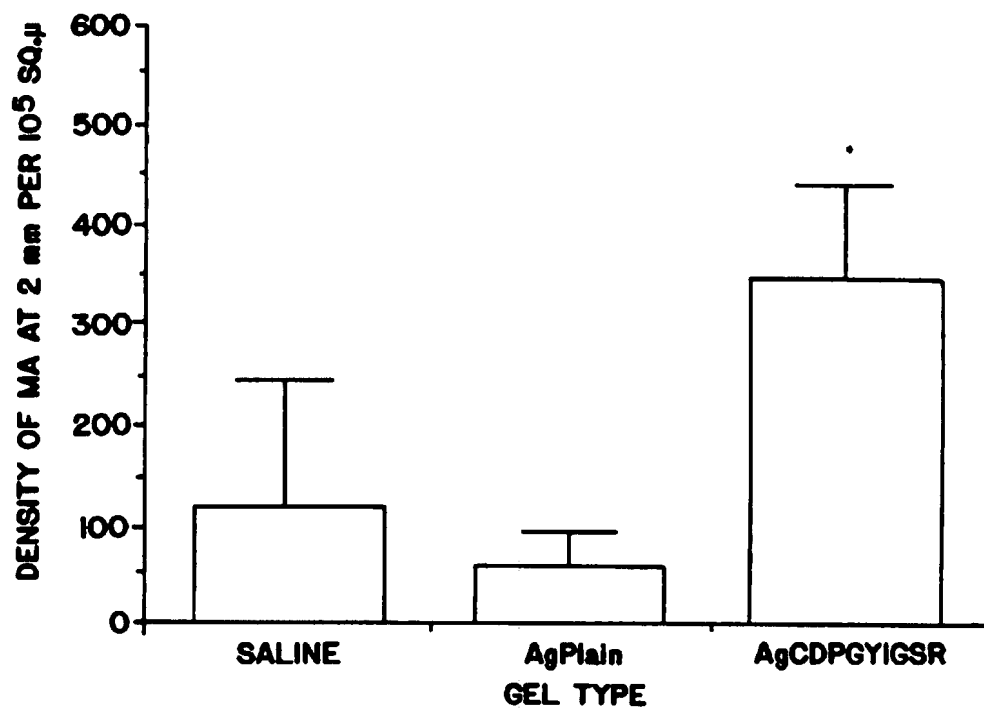


FIG. 10

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/09282

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61L27/00 A61L31/00 C07K14/78

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61L C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,93 11781 (UNIVERSITY OF CALIFORNIA) 24 June 1993 see the whole document ---	1,10
X	WO,A,93 10722 (RESEARCH DEVELOPMENT FOUNDATION) 10 June 1993 see the whole document ---	1,10
X	BIOTECHNOLOGY AND BIOENGINEERING. INCLUDING: SYMPOSIUM BIOTECHNOLOGY IN ENERGY PRODUCTION AND CONSERVATION, vol.43, no.7, 25 March 1994, NEW YORK US pages 555 - 562 C E KREWSON ET AL. 'Cell aggregation and neurite growth in gels of extracellular matrix molecules' see the whole document --- -/--	1,10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

3 November 1995

Date of mailing of the international search report

30.11.95

Name and mailing address of the ISA

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Authorized officer

Masturzo, P

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/09282

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BIOTECHNOLOGY AND BIOENGINEERING. INCLUDING: SYMPOSIUM BIOTECHNOLOGY IN ENERGY PRODUCTION AND CONSERVATION, vol.43, no.7, 25 March 1994, NEW YORK US pages 543 - 554 R BELLAMKONDA & P AEBISCHER 'Review; Tissue engineering in the nervous system' see the whole document ---	1,10
A	JOURNAL OF BIOLOGICAL CHEMISTRY., vol.264, no.27, 25 September 1989, BALTIMORE US pages 16174 - 16182 K TASHIRO ET AL. 'A synthetic peptide containing the IKVAV sequence from the A chain of laminin mediates cell attachment, migration and neurite outgrowth' see the whole document ---	1
P,X	J. NEUROSCI. RES., vol.41, no.4, 1 July 1995, NEW YORK, USA pages 501 - 509 R BELLAMKONDA ET AL. 'Laminin oligopeptide derivatized agarose gel allow three-dimensional neurite extension in vitro' see the whole document -----	1-23

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 95/09282

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 19-23  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 19-23 refer to a method of treatment of the human body, the search was carried out and based on the alleged effects of the composition.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

onal Application No  
PCT/US 95/09282

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
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		JP-T-	7501553	16-02-95
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		AU-A-	3224593	28-06-93
		CA-A-	2124190	10-06-93
		CN-A-	1079912	29-12-93
		EP-A-	0614345	14-09-94
		FI-A-	942425	25-05-94
		JP-T-	7501465	16-02-95
		NO-A-	941917	24-05-94
NZ-A-	245286	27-06-95		
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